

Substitute for form 1449A&B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/998,908
				Filing Date	November 30, 2001
				First Named Inventor	Lee, Chi-Cheng
				Art Unit	2144
				Examiner Name	Thanh T. Nguyen
Sheet	1	of	9	Attorney Docket Number	021756-012100US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A1	US-2002-0023132	2-2002	Tornabene et al.	
	A2	US-2002-0066033	5-2002	Dobbins et al.	
	A3	US-2002-0143949	12-2000	Rajarajan et al.	
	A4	US-2003-0105654 A1	6-2003	Macleod et al.	
	A5	US-2005-0015340	1-2005	Maes, Stephane H.	
	A6	US-2006-0159109	7-2006	Lamkin et al.	
	A7	US-2006-0195575 A1	8-2006	Shawn P. Delany	
	A8	US-2006-0212574 A1	9-2006	Stephane H. Maes	
	A9	US-5,815,152	9-1998	Collier et al.	
	A10	US-6,067,548	5-2000	Cheng	
	A11	US-6,104,705 A	8-2000	Ismail et al.	
	A12	US-6,105,006	8-2000	Davis et al.	
	A13	US-6,275,916 B1	8-2001	Weldon, Jr. et al.	
	A14	US-6,356,996	3-2002	Adams, Phillip M.	
	A15	US-6,487,600 B1	11-2002	Lynch, Thomas W.	
	A16	US-6,609,198 B1	8-2003	Wood et al.	
	A17	US-6,671,853 B1	12-2003	Burkett et al.	
	A18	US-6,823,513 B1	11-2004	McNally et al.	
	A19	US-6,839,680	1-2005	Liu et al.	
	A20	US-6,859,783 B2	2-2005	Cogger et al.	
	A21	US-6,859,823 B1	2-2005	Nishihara et al.	
	A22	US-6,986,138	1-2006	Sakaguchi et al.	
	A23	US-7,107,526 B1	9-2006	Weller, Scott Wayne	
	A24	US-7,120,914 B1	10-2006	Manthos et al.	
	A25	US-7,124,354 B1	10-2006	Ramani et al.	
	A26	US-7,308,702	12-2003	Thomsen et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
								<input type="checkbox"/>

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				Examiner Name	Thanh T. Nguyen
Sheet	2	of	9	Attorney Docket Number	021756-012100US

NON PATENT LITERATURE DOCUMENTS			
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	C1	Camenisch, Jan et al., "Privacy and Identity Management for Everyone", ACM, 2005, 8 pages	<input type="checkbox"/>
	C2	Microsoft, "Microsoft's Vision for an Identity Metasystem", Microsoft Corporation, <a href="http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm">http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm</a> , printed date October 6, 2006, 9 pages	<input type="checkbox"/>
	C3	PC PRO, "Identity Systems", <a href="http://research.pcpro.co.uk/detail/ORG/1139341311_744.html">http://research.pcpro.co.uk/detail/ORG/1139341311_744.html</a> , printed date October 6, 2006, 2 pages	<input type="checkbox"/>
	C4	Prasad, CK, "Distributing Sun Java System Identity Server Applications Using Java Web Start", Article Index, April 2004, 8 pages	<input type="checkbox"/>
	C5	US Pat App No. 09/997,408, Filed 11/30/2001, (Atty. Ref. No. 021756-012600US), Notice of Allowance dated 01/25/2007, 5 pgs.	<input type="checkbox"/>
	C6	US Pat App No. 09/997,408, Filed 11/30/2001, (Atty. Ref. No. 021756-012600US), Non-Final Office Action dated 08/07/2006, 15 pgs.	<input type="checkbox"/>
	C7	US Pat App No. 09/997,408, Filed 11/30/2001, (Atty. Ref. No. 021756-012600US), Advisory Action dated 04/27/2006, 3 pgs.	<input type="checkbox"/>
	C8	US Pat App No. 09/997,409, Filed 11/30/2001, (Atty. Ref. No. 021756-013400US), Notice of Allowance dated 09/07/2007, 20 pgs.	<input type="checkbox"/>
	C9	US Pat App No. 09/997,409, Filed 11/30/2001, (Atty. Ref. No. 021756-013400US), Non-Final Office Action dated 10/20/2006, 21 pgs.	<input type="checkbox"/>
	C10	US Pat App No. 09/997,409, Filed 11/30/2001, (Atty. Ref. No. 021756-013400US), Advisory Action dated 07/12/2006, 3 pgs.	<input type="checkbox"/>
	C11	US Pat App No. 09/997,409, Filed 11/30/2001, (Atty. Ref. No. 021756-013400US), Final Office Action dated 05/03/2006, 9 pgs.	<input type="checkbox"/>
	C12	US Patent Application No. 09/997,410, filed November 30, 2001 (attny docket no. 012400US), NOA dated 04/06/2004, 5 pages	<input type="checkbox"/>

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				Examiner Name	Thanh T. Nguyen
Sheet	3	of	9	Attorney Docket Number	021756-012100US

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	C13	US Patent Application No. 09/997,410, filed November 30, 2001 (attny docket no. 012400US), Office Action dated 12/18/2003, 9 pages	<input type="checkbox"/>	
	C14	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Non-Final Office Action dated 09/18/2009, 14 pgs.	<input type="checkbox"/>	
	C15	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Advisory Action dated 05/26/2009, 3 pgs.	<input type="checkbox"/>	
	C16	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Final Office Action dated 03/04/2009, 16 pgs.	<input type="checkbox"/>	
	C17	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Non-Final Office Action dated 08/20/2008, 12 pgs.	<input type="checkbox"/>	
	C18	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Advisory Action dated 05/05/2008, 3 pgs.	<input type="checkbox"/>	
	C19	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Final Office Action dated 02/06/2008, 14 pgs.	<input type="checkbox"/>	
	C20	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Non-Final Office Action dated 07/16/2007, 11 pgs.	<input type="checkbox"/>	
	C21	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Advisory Office Action dated 03/22/2007, 6 pgs.	<input type="checkbox"/>	
	C22	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Final Office Action dated 01/04/2007, 12 pgs.	<input type="checkbox"/>	
	C23	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Non-Final Office Action dated 07/17/2006, 17 pgs.	<input type="checkbox"/>	
	C24	US Pat App No. 09/998,893, Filed 11/30/2001, (Atty. Ref. No. 021756-012800US), Advisory Action dated 03/28/2006, 3 pages	<input type="checkbox"/>	

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				Examiner Name	Thanh T. Nguyen
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	C25	US Pat App No. 09/998,894, Filed 11/30/2001, (Atty. Ref. No. 021756-013500US), Notice of Allowance dated 03/19/2004, 5 pages.	<input type="checkbox"/>	
	C26	US Pat App No. 09/998,894, Filed 11/30/2001, (Atty. Ref. No. 021756-013500US), Non-Final Office Action dated 12/22/2003, 9 pages.	<input type="checkbox"/>	
	C27	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Rejection dated 04/02/2009, 23 pgs.	<input type="checkbox"/>	
	C28	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000US), Non-Final Office Action dated 06/18/2008, 22 pgs.	<input type="checkbox"/>	
	C29	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Advisory Action dated 03/27/2008, 3 pgs.	<input type="checkbox"/>	
	C30	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Office Action dated 01/09/2008, 14 pgs.	<input type="checkbox"/>	
	C31	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Non-Final Office Action dated 07/26/2007, 17 pgs.	<input type="checkbox"/>	
	C32	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Advisory Action dated 04/10/2007, 6 pgs.	<input type="checkbox"/>	
	C33	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Office Action dated 01/18/2007, 17 pgs.	<input type="checkbox"/>	
	C34	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Advisory Action dated 09/26/2006, 3 pgs.	<input type="checkbox"/>	
	C35	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Office Action dated 07/20/2006, 22 pgs.	<input type="checkbox"/>	
	C36	US Pat App No. 09/998,896, filed November 30, 2001 (Atty. Ref. No. 021756-012700US) Notice of Allowance dated 09/23/2003, 6 pages.	<input type="checkbox"/>	

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	C37	US Patent Application No. 09/998,896, filed November 30, 2001 (Atty. Ref. No. 021756-012700US) Office Action dated 5/19/2003, 16 pages		<input type="checkbox"/>
	C38	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300US) Notice of Allowance dated 09/03/2008, 8 pgs.		<input type="checkbox"/>
	C39	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300US) Final Office Action dated 05/13/2008, 13 pgs.		<input type="checkbox"/>
	C40	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Non-Final Office Action dated 11/14/2007, 8 pgs.		<input type="checkbox"/>
	C41	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Final Office Action dated 08/24/2007, 8 pgs.		<input type="checkbox"/>
	C42	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Non-Final Office Action dated 03/07/2007, 10 pgs.		<input type="checkbox"/>
	C43	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Advisory Action dated 12/29/2006, 3 pgs.		<input type="checkbox"/>
	C44	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Final Office Action dated 10/04/2006, 7 pgs.		<input type="checkbox"/>
	C45	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Advisory Action dated 08/1/2008, 3 pgs.		<input type="checkbox"/>
	C46	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Non-Final Office Action dated 03/28/2006, 7 pgs.		<input type="checkbox"/>
	C47	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Notice of Allowance dated April 16, 2009, 10 pgs.		<input type="checkbox"/>
	C48	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Non-Final Office Action dated October 7, 2008, 15 pgs.		<input type="checkbox"/>

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	C49	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Advisory Action dated July 17, 2008, 4 pgs.		<input type="checkbox"/>
	C50	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Final Office Action dated April 29, 2008, 15 pgs.		<input type="checkbox"/>
	C51	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Non-Final Office Action dated October 31, 2007, 13 pgs.		<input type="checkbox"/>
	C52	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Final Office Action dated February 14, 2007, 15 pgs.		<input type="checkbox"/>
	C53	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Non-Final Office Action dated September 27, 2006, 12 pgs.		<input type="checkbox"/>
	C54	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Advisory Action dated August 1, 2006, 3 pgs.		<input type="checkbox"/>
	C55	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Final Office Action dated April 25, 2006, 19 pgs.		<input type="checkbox"/>
	C56	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Final Office Action dated August 19, 2005, 6 pgs.		<input type="checkbox"/>
	C57	US Pat App No. 09/998,914, Filed 11/30/2001, (Atty. Ref. No. 021756-013600US) Notice of Allowance dated 11/28/2007, 8 pgs.		<input type="checkbox"/>
	C58	US Pat App No. 09/998,914, Filed 11/30/2001, (Atty. Ref. No. 021756-013600US), Non-Final Office Action dated 06/22/2007, 14 pgs.		<input type="checkbox"/>
	C59	US Pat App No. 09/998,914, Filed 11/30/2001, (Atty. Ref. No. 021756-013600US), Advisory Action dated 04/25/2007, 3 pgs.		<input type="checkbox"/>
	C60	US Pat App No. 09/998,914, Filed 11/30/2009, (Atty. Ref. No. 021756-013600US), Final Office Action dated 02/16/2007, 13 pgs.		<input type="checkbox"/>

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	C61	US Pat App No. 09/998,914, Filed 11/30/2001, (Atty. Ref. No. 021756-013600US), Non-Final Office Action dated 09/06/2006, 18 pgs.	<input type="checkbox"/>	
	C62	US Pat App No. 09/998,914, Filed 11/30/2001, (Atty. Ref. No. 021756-013600US), Advisory Action dated 04/19/2006, 3 pgs.	<input type="checkbox"/>	
	C63	US Pat App No. 09/998,916, Filed 11/30/2001, (Atty. Ref. No. 021756-012000US), Notice of Allowance dated 09/27/2007, 10 pgs.	<input type="checkbox"/>	
	C64	US Pat App No. 09/998,916, Filed 11/20/2001, (Atty. Ref. No. 021756-012000US), Non-Final Office Action dated 02/23/2007, 12 pgs.	<input type="checkbox"/>	
	C65	US Pat App No. 09/998,916, Filed 11/30/2001, (Atty. Ref. No. 021756-012000US), Non-Final Office Action dated 09/05/2006, 20 pgs.	<input type="checkbox"/>	
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	C67	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), <u>Notice of Allowance</u> dated 01/15/2008, 5 pgs.	<input type="checkbox"/>	
	C68	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), Final Office Action dated 08/10/2007, 35 pgs.	<input type="checkbox"/>	
	C69	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), Non-Final Office Action dated 02/26/2007, 16 pgs.	<input type="checkbox"/>	
	C70	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), Non-Final Office Action dated 09/11/2006, 19 pgs.	<input type="checkbox"/>	
	C71	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), Advisory Action dated 06/16/2006, 3 pgs.	<input type="checkbox"/>	
	C72	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Non-Final Office Action dated 03/18/2009, 26 pgs.	<input type="checkbox"/>	

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	C75	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Non-Final Office Action dated 09/18/2007, 23 pgs.	<input type="checkbox"/>	
	C76	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), BPAI Decision - Examiner Affirmed dated 05/10/2007, 12 pgs.	<input type="checkbox"/>	
	C77	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Examiner's Answer to Appeal Brief dated 07/13/2006, 20 pgs.	<input type="checkbox"/>	
	C78	US Patent Application No. 10/145,684 (Attny. Ref. No. 021756-013900US), Non-Final Office Action dated May 12, 2009, 10 pages	<input type="checkbox"/>	
	C79	US Pat App No. 10/145,684, Filed 05/15/2002, (Attny. Ref. No. 021756-013900US), Restriction Requirement dated 01/05/2009, 5 pgs.	<input type="checkbox"/>	
	C80	US Pat App No. 10/145,684, Filed 05/15/2002, (Attny. Ref. No. 021756-013900US), Final Office Action dated 06/26/2008, 32 pgs.	<input type="checkbox"/>	
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	C82	US Pat App No. 10/145,684, Filed 05/15/2002, (Attny. Ref. No. 021756-013900US), Non-Final Office Action dated 06/30/2006, 25 pgs.	<input type="checkbox"/>	
	C83	US Pat App No. 10/146,150, Filed 05/15/2002, (Attny. Ref. No. 021756-013800US), Notice of Allowance dated 02/20/2007, 19 pgs.	<input type="checkbox"/>	
	C84	US Pat App No. 10/146,150, Filed 05/15/2002, (Attny. Ref. No. 021756-013800US), Advisory Action dated 12/05/2006, 12 pgs.	<input type="checkbox"/>	

Examiner Signature		Date Considered	
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Substitute for form 1449A&B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/998,908
				Filing Date	November 30, 2001
				First Named Inventor	Lee, Chi-Cheng
				Art Unit	2144
				Examiner Name	Thanh T. Nguyen
Sheet	9	of	9	Attorney Docket Number	021756-012100US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C85	US Pat App No. 10/146,150, Filed 05/15/2002, (Atty. Ref. No. 021756-013800US), Final Office Action dated 09/07/2006, 12 pgs.	<input type="checkbox"/>
	C86	US Pat App No. 10/146,150, Filed 05/15/2002, (Atty. Ref. No. 021756-013800US), Non-Final Office Action dated 05/30/2006, 18 pgs.	<input type="checkbox"/>
	C87	US Pat App No. 11/382,981, Filed 05/12/2006, (Atty. Ref. No. 021756-013210US), Final Office Action dated 07/02/2009, 7 pgs.	<input type="checkbox"/>
	C88	US Pat App No. 11/382,981, Filed 05/12/2006, (Atty. Ref. No. 021756-013210US), Non-Final Office Action dated 12/23/2008, 26 pgs.	<input type="checkbox"/>
	C89	US Pat App No. 11/691,072, Filed 05/15/2002, (Atty. Ref. No. 021756-013810US), Notice of Allowance dated 09/08/2008, 10 pgs.	<input type="checkbox"/>
	C90	US Pat App No. 11/691,072, Filed 05/15/2002, (Atty. Ref. No. 021756-013810US), Non-Final Office Action dated 04/09/2008, 31 pgs.	<input type="checkbox"/>
	C91	Wahl, M., "Informed Control Enabling Identity Interoperability", <a href="http://www.ldap.com/1/commentary/wahl/20050203_01.shtml">http://www.ldap.com/1/commentary/wahl/20050203_01.shtml</a> , Feb 3, 2005, 3 pages	<input type="checkbox"/>

Examiner Signature		Date Considered	
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